



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/444,488	11/19/99	VANTALON	L 5442-020

0262/1216

SABATH & TRUONG  
111 N MARKET ST  
SUITE 815  
SAN JOSE CA 95113

NOT ASSIGNED

2/16/2000

2711

DATE MAILED:

12/16/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 1988.

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 740 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due: \$1098

\$ 396 for 22 total claims over 20.

\$ 702 for 9 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*U. Robinson*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



Attorney Docket No. 5442-020

ATTN: APPLICATION BRANCH  
**THIS IS A RESPONSE TO A  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

Re: Inventor: Luc Vantalon, et al.  
Serial No.: 09/444,488  
Filed: November 19, 1999  
For: DIGITAL TELEVISION CONDITIONAL ACCESS METHODS AND  
APPARATUS WITH MULTIPLE DATA TRANSPORT MECHANISM

BOX MISSING PARTS  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Attached hereto for filing are the following papers:

1. Declaration and Power of Attorney
2. Form PTO-1553
3. Statement Claiming Small Entity Status

THE FILING FEE IS CALCULATED BELOW:

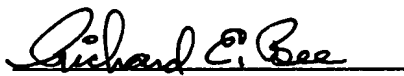
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	42 - 20 =	22	X \$ 18 =	\$ 396.00
	INDEPENDENT	12 - 3 =	9	X \$ 78 =	\$ 702.00
	MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$260 =	\$ 0
	LATE FILING OF DECLARATION			+ \$130 =	\$ 130.00
	BASIC FEE				\$ 760.00
	TOTAL OF ABOVE CALCULATIONS =				\$ 1988.00
	Reduction by 50% for filing by Small Entity				994.00
	<input type="checkbox"/> PETITION UNDER 37 CFR 1.136 (EXTENSION OF TIME)			+ \$110 =	\$ 0
	<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$ 40 =	\$ 0
	TOTAL				\$ 994.00

- ☒ A CHECK IN THE AMOUNT OF \$994.00 TO COVER THE FILING FEE IS ENCLOSED.
- ☒ THE ASSISTANT COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY ADDITIONAL FEES WHICH MAY BE REQUIRED FOR THE PAPERS BEING FILED HEREWITH AND FOR WHICH NO CHECK IS ENCLOSED HEREWITH, OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT NO. 50-0448. A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.
- ☒ IN THE EVENT ANY VARIANCE EXISTS BETWEEN THE AMOUNT ENCLOSED AND THE PATENT OFFICE CHARGES FOR FILING THE ABOVE-NOTED DOCUMENTS, INCLUDING ANY FEES REQUIRED UNDER 37 CFR 1.136 FOR ANY NECESSARY EXTENSION OF TIME TO MAKE THE FILING OF THE ATTACHED DOCUMENTS TIMELY, PLEASE CHARGE OR CREDIT THE DIFFERENCE TO DEPOSIT ACCOUNT NO. 50-0448.

Dated: February 10, 2000

Respectfully submitted,


SABATH & TRUONG

  
Richard E. Bee  
Registration No. 18,005

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Annette Jacobs  
Name

  
Signature

February 11, 2000  
Date Signed